

2011

April

- SBI SECURITIES Co., Ltd. acquired a 20% stake in FPT Securities Joint Stock Company, a major securities company in Vietnam
- Became the first company incorporated in Japan to list on the main board of the Hong Kong Stock Exchange, by way of Hong Kong Depositary Receipts (HDR)
- Acquired a 2.74% stake in Kingston Financial Group Limited, a securities company in Hong Kong

May

- Jointly established a fund to invest in Turkish private equities with Abu Dhabi government-owned financial services company Invest AD—Abu Dhabi Investment Company

June

- Established a representative office in Kuala Lumpur, Malaysia
- Acquired a 50% stake in Obibank Ltd., a Russian commercial bank
- Established CSJ-SBI Financial Media Co., Ltd., a joint venture with China's national China Securities Journal to offer a Sino-Japan economic and financial news service, advertising, and website development businesses

July

- Gomez Consulting Co., Ltd. was merged with Morningstar Japan K.K.
- SBI SECURITIES Co., Ltd. acquired a 25% stake in PT BNI Securities, a major securities company in Indonesia
- Jointly established a fund to invest primarily in unlisted private equities in China, Taiwan, and Hong Kong with Shin Kong Group, a major financial group in Taiwan, and Nan Fung Group, a major real estate group in Hong Kong

August
September

- Balance of housing loans at SBI Mortgage Co., Ltd. topped ¥1 trillion
- Jointly established a fund focused on investment in China, Taiwan, and Hong Kong region with Hong Kong-based Kingston Financial Group Limited

November

- Basic agreement reached with Shanghai Yidian Holding (Group) Company to jointly establish an investment fund primarily targeting the Chinese information industry
- SBI Investment Co., Ltd. entered a basic agreement to offer RHB Banking Group, a major financial services group in Malaysia, investment management advisory services for its "Asia CleanTech Fund"
- Announced buy-back of up to 370,000 of the Company's own shares, at a cost of up to ¥2.0 billion (Actual period of share buy-back: November 30, 2011 to December 5, 2011)

December

- SBI Investment Co., Ltd. and KLab Inc. jointly established KLab Ventures, Inc. to engage in venture capital business focused primarily on investments in Internet companies
- Total deposit balance at SBI Sumishin Net Bank, Ltd. exceeded ¥2 trillion



A Hong Kong Stock Exchange billboard announces the listing of SBI Holdings' HDRs



Members of project to launch Internet banking business at Obibank Ltd.



Japanese website of China Securities Journal, operated by CSJ-SBI Financial Media Co., Ltd.

2012

January

- SBI Pharmaceuticals, Co., Ltd. received certification of product registration for health foods granted by Philippine government
- Basic agreement reached with local partners in India to jointly establish "Nirvana Digital India Fund" to invest in unlisted companies in the IT field in India
- Executed a memorandum of understanding with PhillipCapital, a major integrated financial services group in Singapore, concerning broad business collaborations focusing on financial business
- Formed a strategic business alliance with OSK Investment Bank Berhad, a major securities group in Malaysia

February

- Acquired 100% ownership of SBI Net Systems Co., Ltd.
- Additionally acquired an 83.1% stake in Shinsai Partners Inc. (currently SBI SSI Co., Ltd.)
- Entered a basic agreement with Mahindra Satyam of the Mahindra Group, one of the top ten businesses based in India, to jointly invest in companies in the information and communications technology field around the world
- SBI Pharmaceuticals Co., Ltd. obtained First-class Marketing Authorization to conduct business as a pharmaceutical company in Japan

March

- Value of housing loans transacted by SBI Sumishin Net Bank, Ltd. exceeded ¥1 trillion
- The China business management company, SBI (China) Co., Ltd., commenced business in Dalian
- Transferred all shares of SBI VeriTrans Co., Ltd., to a subsidiary of Digital Garage, Inc., and entered a basic agreement to form a business alliance with Digital Garage, Inc. to develop its investment and incubation business globally

April

- Executed a memorandum of understanding among Coway International TechTrans Co., Ltd., a member of the Tsinghua University group, and Auto Server Co., Ltd., on the establishment of a joint venture for an online used car auction business in China
- SBI Pharmaceuticals Co., Ltd. entered a basic agreement for promotion of the 5-ALA business with the Bahrain Government
- Subscribed to US \$30 million worth of shares as a cornerstone investor in major Chinese securities company, Haitong Securities Co., Ltd., on the occasion of its company's listing on the Hong Kong Stock Exchange

May

- SBI Mortgage Co., Ltd. listed on the KOSPI Market of the Korea Exchange
- The First SBI Global Strategic Conference held in Hong Kong, attended by the newly appointed members of the board of directors of the Hong Kong subsidiary, including a number of members representing the Group's overseas partner companies.
- Announced buy-back of up to 380,000 of the Company's own shares, at a cost of up to ¥2.0 billion (Actual period of share buy-back: May 25, 2012 to June 13, 2012)
- SBI FXTRADE Co., Ltd., a foreign exchange trading company commenced business
- Jointly established a fund with Edelweiss Financial Services Ltd., an integrated financial services company in India, to invest in small and mid-cap listed companies in India
- Reached a basic agreement with Netherlands Development Finance Company Financierings-Maatschappij voor Ontwikkelingslanden (FMO) to jointly establish a fund to invest primarily in financial institutions in Asia

June

- Established SBI Capital Management Co., Ltd. as an intermediate holding company with subsidiaries engaged in the asset management business; and SBI FINANCAL SERVICES Co., Ltd., as an intermediate holding company bringing together Group companies engaged in financial services
- Implemented reorganization of face-to-face sales businesses centering on SBI MONEY PLAZA Co., Ltd., and transferred the face-to-face division of SBI SECURITIES Co., Ltd. to SBI MONEY PLAZA Co., Ltd.



Signing ceremony of an agreement between SBI Pharmaceuticals Co., Ltd. and Bahrain Government



Participants at the First SBI Global Strategic Conference



Transaction page of SBI FXTRADE Co., Ltd. website