

Corporate Data

Company Outline (As of March 31, 2014)

Company Name	SBI Holdings, Inc.
Date of Establishment	July 8, 1999
Head Office	Izumi Garden Tower 19F, 1-6-1 Roppongi, Minato-ku, Tokyo 106-6019 Japan TEL: +81 (3) 6229 0100 FAX: +81 (3) 3224 1970
Number of Employees	5,352 (consolidated)
Paid-in Capital	¥81,681 million
Fiscal Year	April 1 to March 31

Stock Information (As of March 31, 2014)

Listing	First Section of the Tokyo Stock Exchange
Code	8473
Shares Authorized	341,690,000 shares
Shares Outstanding	224,561,761 shares (including treasury stock)
Shareholder Register	Mizuho Trust & Banking Co., Ltd.

Principal Shareholders

Name	Number of shares held (shares)	Percentage of outstanding shares (%)
Japan Trustee Services Bank, Ltd. (Trust account)	9,008,530	4.01
NORTHERN TRUST CO. (AVFC) RE 15PCT TREATY ACCOUNT	7,868,920	3.50
The Master Trust Bank of Japan, Ltd. (Trust account)	7,343,500	3.27
STATE STREET BANK CLIENT OMNIBUS OM04	7,318,827	3.26
SAJAP	5,476,640	2.44
NORTHERN TRUST GLOBAL SERVICES LIMITED RE 15PCT TREATY ACCOUNT (NON LENDING)	5,024,140	2.24
JAPAN SECURITIES FINANCE CO., LTD.	5,005,600	2.23
Yoshitaka Kitao	3,807,960	1.70
STATE STREET BANK WEST CLIENT – TREATY	2,594,212	1.16
Japan Trustee Services Bank, Ltd. (Trust Account 6)	2,471,300	1.10

* Apart from the holdings of the principal shareholders above, the Company holds 7,566,803 shares (3.36%) as treasury stock.

Information on Bonds and Credit Rating (As of September 9, 2013)

Rating agency	Long-term	Short-term
Rating and Investment Information	BBB (Stable)	a-2



Distribution of Ownership among Shareholders

